



Express Mail Label No.: EL 935284188 US  
Date of Deposit: December 30, 2005

DOCKET NO.: N0389.70001US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Burakoff, et al.  
Serial No.: 09/989,240  
Confirmation No.: 9620  
Filed: November 20, 2001  
For: METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF  
SENSITIVE INFORMATION

Examiner: Peeso, Thomas R.  
Art Unit: 2132

**BEST AVAILABLE COPY**

**Certificate of Express Mailing Under 37 CFR 1.10**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EL 935284188 US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: December 30, 2005

Signature:  (Ilan Barzilay)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(c)**

Sir:

In accordance with 37 C.F.R. §1.48(c), Applicants hereby petition to correct inventorship of the above-identified patent application. The above-identified U.S. Patent Application, a continuation-in-part of application number 09/023,039 (now U.S. Patent No. 6,782,506), currently names Stephen V. Burakoff, Sergiu S. Simmel, and Robert A. Fein as inventors. In filing the present continuation-in-part application claims were added which included subject matter invented by Alex Magary, Leonard Driscoll, and Garrett Wiley. Through error and without deceptive intent, Alex Magary, Leonard Driscoll, and Garrett Wiley were not named as inventors in the application. The correct inventorship of the subject matter of the claims of the present application resides in Stephen V. Burakoff, Sergiu S. Simmel, Robert A. Fein, Alex Magary, Leonard Driscoll, and Garrett Wiley.

Accordingly, it is requested that Alex Magary, Leonard Driscoll, and Garrett Wiley be added as inventors in the above application.

Attached to this Petition are the following as required by 37 C.F.R. §1.48(c):

- A statement from each inventor to be added, Alex Magary, Leonard Driscoll, and Garrett Wiley, stating that the error in inventorship occurred without deceptive intent on his part;
- A Declaration for Patent Application signed in parts by Stephen V. Burakoff, Sergiu S. Simmel, Robert A. Fein, Alex Magary, Leonard Driscoll, and Garrett Wiley;
- A check in the amount of \$195.00 to cover the fee set forth in 37 CFR §1.17(i) and the Declaration filing fee.

The Commissioner is hereby authorized to charge any deficiencies to Deposit Account 23/2825. If any issues remain unresolved in this application, please call the undersigned attorney.

Respectfully submitted,  
*Burakoff, et al, Applicant*

By: 

Ilan N. Barzilay, Reg. No. 46,540  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, Massachusetts 02210-2206  
Telephone: (617) 646-8000

Docket No.: N0389.70001US00  
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Examiner: Peeso, Thomas R.  
Art Unit: 2132

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT BY ALEX MAGARY PURSUANT TO 37 C.F.R. §1.48(c)**

1. The above-identified U.S. Patent Application, a continuation-in-part of application number 09/023,039 (now U.S. Patent No. 6,782,506), currently names Stephen V. Burakoff, Sergiu S. Simmel, and Robert A. Fein as inventors.
2. I was erroneously not listed as an inventor of the subject matter claimed in the above-identified application. The addition of my name as an inventor is necessitated by the new claims in the present continuation-in-part application.
3. The error in inventorship occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12/14/05  
Date

Alex Magary  
Alex Magary



Express Mail Label No.: EL 935284188 US  
Date of Deposit: December 30, 2005

DOCKET NO.: N0389.70001US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Burakoff, et al.  
Serial No.: 09/989,240  
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SENSITIVE INFORMATION

Examiner: Peeso, Thomas R.  
Art Unit: 2132

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT BY GARETT WILEY PURSUANT TO 37 C.F.R. §1.48(c)**

1. The above-identified U.S. Patent Application, a continuation-in-part of application number 09/023,039 (now U.S. Patent No. 6,782,506), currently names Stephen V. Burakoff, Sergiu S. Simmel, and Robert A. Fein as inventors.
2. I was erroneously not listed as an inventor of the subject matter claimed in the above-identified application. The addition of my name as an inventor is necessitated by the new claims in the present continuation-in-part application.
3. The error in inventorship occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12/15/05  
Date

Garett Wiley



Express Mail Label No.: EL 935284188 US  
Date of Deposit: December 30, 2005

DOCKET NO.: N0389.70001US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Burakoff, et al.  
Serial No.: 09/989,240  
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Examiner: Peeso, Thomas R.  
Art Unit: 2132


Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

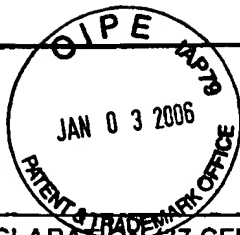
**STATEMENT BY LEONARD DRISCOLL PURSUANT TO 37 C.F.R. §1.48(c)**

1. The above-identified U.S. Patent Application, a continuation-in-part of application number 09/023,039 (now U.S. Patent No. 6,782,506), currently names Stephen V. Burakoff, Sergiu S. Simmel, and Robert A. Fein as inventors.
2. I was erroneously not listed as an inventor of the subject matter claimed in the above-identified application. The addition of my name as an inventor is necessitated by the new claims in the present continuation-in-part application.
3. The error in inventorship occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

12-21-05  
Date

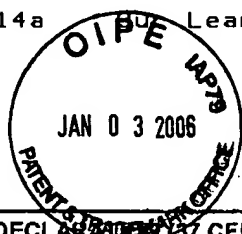
  
Leonard Driscoll



DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE INFORMATION
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or	
<input checked="" type="checkbox"/> Application No. 09/989240, filed on 11/20/2001,	
<input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

FULL NAME OF INVENTOR(S)	
Inventor one:	Stephen V. Burakoff
Signature:	<i>Stephen Burakoff</i> Citizen of: USA
Inventor two: Sergiu S. Simmel	
Signature:	_____ Citizen of: USA
Inventor three: Robert A. Fein	
Signature:	_____ Citizen of: USA
FULL NAME OF INVENTOR(S)	
Inventor four:	Alex Magary
Signature:	_____ Citizen of: USA
Inventor five: Lenny Driscoll	
Signature:	_____ Citizen of: USA
Inventor six: Garrett Wiley	
Signature:	_____ Citizen of: USA



## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention

METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE INFORMATION

As the below named inventor(s), I/we declare that:

This declaration is directed to:

☐ The attached application, or☒ Application No. 09/989240, filed on 11/20/2001,☐ as amended on \_\_\_\_\_ (if applicable):

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

## FULL NAME OF INVENTOR(S)

Inventor one: Stephen V. Burakoff

Signature: \_\_\_\_\_ Citizen of: USA

Inventor two: Sergiu S. Simmel

Signature: *Sergiu S. Simmel* Citizen of: USA

Inventor three: Robert A. Fein

Signature: \_\_\_\_\_ Citizen of: USA

## FULL NAME OF INVENTOR(S)

Inventor four: Alex Magary

Signature: \_\_\_\_\_ Citizen of: USA

Inventor five: Leonard Driscoll

Signature: \_\_\_\_\_ Citizen of: USA

Inventor six: Garrett Wiley

Signature: \_\_\_\_\_ Citizen of: USA



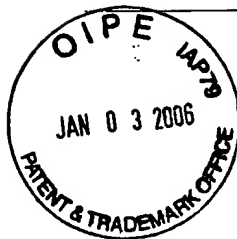




**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE INFORMATION</b>
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or	
<input checked="" type="checkbox"/> Application No. <u>09/989240</u> , filed on <u>11/20/2001</u>	
<input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

<b>FULL NAME OF INVENTOR(S)</b>	
Inventor one:	<u>Stephen V. Burakoff</u>
Signature:	Citizen of: <u>USA</u>
Inventor two:	<u>Sergiu S. Simmel</u>
Signature:	Citizen of: <u>USA</u>
Inventor three:	<u>Robert A. Fein</u>
Signature:	Citizen of: <u>USA</u>
<b>FULL NAME OF INVENTOR(S)</b>	
Inventor four:	<u>Alex Magary</u>
Signature: <i>Alex Magary</i>	Citizen of: <u>USA</u>
Inventor five:	<u>Leonard Driscoll</u>
Signature:	Citizen of: <u>USA</u>
Inventor six:	<u>Garett Wiley</u>
Signature:	Citizen of: <u>USA</u>



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of Invention**

**METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE  
INFORMATION**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

☐

The attached application, or

☒

Application No. 09/989240, filed on 11/20/2001,

☐

as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

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**FULL NAME OF INVENTOR(S)**

Inventor one: Stephen V. Burakoff

Signature: \_\_\_\_\_ Citizen of: USA

Inventor two: Sergiu S. Simmel

Signature: \_\_\_\_\_ Citizen of: USA

Inventor three: Robert A. Fein

Signature: \_\_\_\_\_ Citizen of: USA

**FULL NAME OF INVENTOR(S)**

Inventor four: Alex Magary

Signature: \_\_\_\_\_ Citizen of: USA

Inventor five: Leonard Driscoll

Signature:  Citizen of: USA

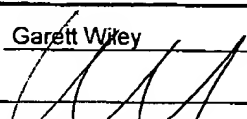
Inventor six: Garett Wiley

Signature: \_\_\_\_\_ Citizen of: USA



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

<b>Title of Invention</b>	<b>METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE INFORMATION</b>
As the below named inventor(s), I/we declare that:	
This declaration is directed to:	
<input type="checkbox"/> The attached application, or	
<input checked="" type="checkbox"/> Application No. <u>09/989240</u> , filed on <u>11/20/2001</u> ,	
<input type="checkbox"/> as amended on _____ (if applicable);	
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;	
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;	
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.	
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.	

<b>FULL NAME OF INVENTOR(S)</b>	
Inventor one:	<u>Stephen V. Burakoff</u>
Signature:	_____ Citizen of: <u>USA</u>
Inventor two:	<u>Sergiu S. Simmel</u>
Signature:	_____ Citizen of: <u>USA</u>
Inventor three:	<u>Robert A. Fein</u>
Signature:	_____ Citizen of: <u>USA</u>
<b>FULL NAME OF INVENTOR(S)</b>	
Inventor four:	<u>Alex Magary</u>
Signature:	_____ Citizen of: <u>USA</u>
Inventor five:	<u>Leonard Driscoll</u>
Signature:	_____ Citizen of: <u>USA</u>
Inventor six:	<u>Garrett Wiley</u>
Signature:	 _____ Citizen of: <u>USA</u>



Express Mail Label No.: EV 743789681 US

Date of Deposit: January 3, 2006

Docket No.: N0389.70001US00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Stephen V. Burakoff et al.  
Serial No.: 09/989240  
Confirmation No.: 9620  
Filed: November 20, 2001  
For: METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF  
SENSITIVE INFORMATION  
Examiner: Thomas R. Peeso  
Art Unit: 2132

**TRANSMITTAL LETTER**

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- Assignment Recordation Cover Sheet for inventors Magary, Driscoll and Wiley
- Executed Assignment for inventors Magary, Driscoll and Wiley
- Name Change Document
- Return Receipt Postcard

On December 30, 2005 Applicant filed a Petition to Correct Inventorship to add inventors Magary, Driscoll and Wiley as inventors on the Application. Enclosed are their assignment papers to NewRiver, Inc. and the corresponding assignment recordation cover sheet. Inventors Burakoff, Fein and Simmel previously executed an assignment for the parent application—this assignment was recorded on November 20, 2001, the date the present Application was filed. A courtesy copy of this assignment is enclosed. Since this original assignment was executed the Assignee's name has changed from InUnity Corporation to NewRiver, Inc. A document reflecting this name change is included.

Please charge our Deposit Account No. 23/2825 in the amount of \$40.00 covering the required fees.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 23/2825, under Docket No. N0389.70001US00. A duplicate copy of this paper is enclosed.

Respectfully submitted,

By 

Ilan N. Barzilay

Registration No.: 46,540

WOLF, GREENFIELD & SACKS, P.C.

Federal Reserve Plaza

600 Atlantic Avenue

Boston, Massachusetts 02210-2206

(617) 646-8000



DOCKET NO.: N0389.70001US00

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**FORM PTO-1592 (Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)DEPARTMENT OF COMMERCE  
Patent and Trademark Office

To the Commissioner for Patents : Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Alex Magary  
Leonard Driscoll  
Garett Wiley**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No3. Nature of conveyance:  
☒ AssignmentExecution Date: **12/21/05**

2. Name and address of receiving party(ies)

Name: **NewRiver, Inc.**Internal Address: **200 Brickstone Square  
5th Floor  
Andover, MA 01810**Street Address: **200 Brickstone Square  
5th Floor  
Andover, MA 01810**Additional name(s) & addresses(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

**09/989,240**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

We do hereby authorize and request the Commissioner of Patents of the United States to issue such Letter Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

5. Name and address of party to whom correspondence  
Concerning document should be mailed:Name: **Ilan N. Barzilay**  
Address: **Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2206**6. Total number of applications and patents involved: ☐7. Total fee (37 CFR 3.41) **\$40**☐ Enclosed☒ Authorized to be charged to deposit accountThe Commissioner is authorized to charge any  
deficiencies in the enclosed payment to:


8. Deposit Account No: 23/2825

**DO NOT USE THIS SPACE**

9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***Ilan N. Barzilay**

Name of Person Signing

  
Signature**1/3/06**

Date

Total number of pages including cover sheet, attachments, and document: **[5]**

Mail documents to be recorded with required cover sheet information to (modify as appropriate):

**Mail Stop Assignment Recordation Services  
Director - U.S. Patent and Trademark Office  
PO Box 1450, Alexandria, VA 22313-1450**

**ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned, Stephen V. Burakoff, 137 Monadnock Road, Chestnut Hill, MA 02167; Sergiu S. Simmel, 36 Kilsyth Road, No. 1, Brookline, MA 02146; Robert A. Fein, 114 Greenlawn Ave., Newton, MA 02159; Alex Magary, 16 Shirley Road, Andover, MA 01810; Leonard Driscoll, 4 Hickory Lane, Andover, MA 01810; and Garrett Wiley, 61R Front Street, Marblehead MA 01945; hereby:

1. Sell, assign and transfer to NewRiver, Inc., a Massachusetts corporation having a place of business at 200 Brickstone Square, 5th Floor, Andover, MA 01810, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the application for United States Letters Patent filed in the United States Patent and Trademark Office on November 20, 20010 under Serial No. 09/989,240, bearing Attorney Docket No. N0389.70001US00, and entitled METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE INFORMATION, and in and to said application and all non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on said inventions, including the right to apply for patent rights in each foreign country and all rights to priority;
2. Agree that said Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, I agree to carry out in good faith the intent and purpose of this assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me relating to said inventions and the history thereof, and generally by doing everything reasonably possible which said Assignee shall consider desirable for aiding in securing and maintaining proper protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee;
3. Request the Honorable Director of the United States Patent and Trademark Office to issue said Letters Patent to said Assignee;
4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing patent/application identification information or correct any errors in the patent/application identification information provided above, whether discovered prior to or after recordation;
5. Covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and
6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal.

\_\_\_\_\_  
Date

Inventor: Stephen V. Burakoff

Address: 137 Monadnock Road, Chestnut Hill, MA  
02167

Citizenship: USA

STATE/Commonwealth of \_\_\_\_\_ :

County of \_\_\_\_\_ :

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me, the undersigned notary public, personally appeared \_\_\_\_\_, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

\_\_\_\_\_  
Date

Inventor: Sergiu S. Simmel

Address: 36 Kilsyth Road, No. 1, Brookline, MA  
02146

Citizenship: USA

STATE/Commonwealth of \_\_\_\_\_ :

County of \_\_\_\_\_ :

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me, the undersigned notary public, personally appeared \_\_\_\_\_, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.



---

DateInventor: Robert A. Fein

Address: 114 Greenlawn Ave., Newton, MA 02159

Citizenship: USA

STATE/Commonwealth of \_\_\_\_\_ :

COUNTY OF \_\_\_\_\_ :

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me, the undersigned notary public, personally appeared \_\_\_\_\_, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

12/14/05  
DateInventor: Alex Magary

Address: 16 Shirley Road, Andover, MA 01810

Citizenship: USA

STATE/Commonwealth of Massachusetts :COUNTY OF Essex :

On this 14<sup>th</sup> day of Dec., 2005, before me, the undersigned notary public, personally appeared Alex Magary, proved to me through satisfactory evidence of identification, which were personally appeared, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

Karen Keegan  
NotaryMy commission expires: 9/12/2008

Date \_\_\_\_\_

Inventor: Leonard Driscoll

Address: 4 Hickory Lane, Andover, MA 01810

Citizenship: USA

STATE/Commonwealth of \_\_\_\_\_ :

COUNTY OF \_\_\_\_\_ :

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_, before me, the undersigned notary public, personally appeared \_\_\_\_\_, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

Date

12/15/2005

Inventor: Garrett Wiley

Address: 61R Front Street, Marblehead MA 01945

Citizenship: USA

STATE/Commonwealth of Massachusetts :COUNTY OF Essex :

On this 15<sup>th</sup> day of Dec., 2005, before me, the undersigned notary public, personally appeared Garrett Wiley, proved to me through satisfactory evidence of identification, which were personally appeared to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

Karen Hebege  
Notary

Commission expires 9-12-08

12-21-05  
Date  
Inventor: Leonard Driscoll  
Address: 4 Hickory Lane, Andover, MA 01810  
Citizenship: USA

STATE/Commonwealth of Massachusetts :  
COUNTY OF Essex :

On this 21<sup>st</sup> day of Dec, 2005 before me, the undersigned notary public, personally appeared Leonard Driscoll, proved to me through satisfactory evidence of identification, which were personally known, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

Karen Nehegan, Notary Public  
my commission expires 9/12/2008

12-21-2005  
Date  
Inventor: Garett Wiley  
Address: 61R Front Street, Marblehead MA 01945  
Citizenship: USA

STATE/Commonwealth of \_\_\_\_\_ :  
COUNTY OF \_\_\_\_\_ :

On this \_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_, before me, the undersigned notary public, personally appeared \_\_\_\_\_, proved to me through satisfactory evidence of identification, which were \_\_\_\_\_, to be the person who signed the preceding or attached document in my presence and swore or affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her) knowledge and belief and acknowledged to me that (he) (she) signed it voluntarily for its stated purpose.

ASSIGNMENT

WHEREAS, We, Stephen V. Burakoff, Sergiu S. Simmel, and Robert A. Fein, have invented one or more improvements in:

**OBTAINING CONSENT FOR ELECTRONIC DELIVERY OF COMPLIANCE INFORMATION**  
described in an application (or provisional application) for Letters Patent of the United States:

☒ identified by Attorney Docket No. INU-002, and executed by us of even date herewith and about to be filed in the United States Patent and Trademark Office;

☐ Serial No. \_\_\_\_\_ filed in the United States Patent Office on \_\_\_\_\_; and

WHEREAS, InUnity Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts, and having a usual place of business at 3 Brook Street, Watertown, MA 02172, desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

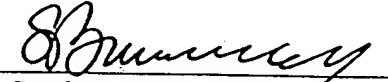
AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and we further hereby authorize ASSIGNEE or its

attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

*IN TESTIMONY WHEREOF*, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor:

  
Stephen V. Burakoff

Massachusetts Missouri )  
County of Jackson ) ss

Subscribed and sworn to before me, by the above-named Stephen V. Burakoff this  
10<sup>th</sup> day of February, 1998.

Notary Public

My Commission Expires: NOTARY PUBLIC STATE OF MISSOURI  
JACKSON COUNTY  
MY COMMISSION EXP. JAN. 28, 1999

Inventor:

Sergiu S. Simmel

Massachusetts )  
County of ) ss

Subscribed and sworn to before me, by the above-named Sergiu S. Simmel this  
\_\_\_\_\_ day of \_\_\_\_\_, 1998.

Notary Public

My Commission Expires: \_\_\_\_\_

Inventor:

Robert A. Fein

Massachusetts )  
County of ) ss

Subscribed and sworn to before me, by the above-named Robert A. Fein this  
day of \_\_\_\_\_, 1998.

Notary Public

My Commission Expires: \_\_\_\_\_

attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.


Inventor: \_\_\_\_\_

Stephen V. Burakoff

Massachusetts )

County of ) ss

Subscribed and sworn to before me, by the above-named Stephen V. Burakoff this \_\_\_\_\_ day of \_\_\_\_\_, 1998.

  
Notary Public

My Commission Expires: \_\_\_\_\_

Inventor: \_\_\_\_\_

Sergiu S. Simmel

Massachusetts )

County of Middlesex ) ss

Subscribed and sworn to before me, by the above-named Sergiu S. Simmel this 10 day of February, 1998.

  
Notary Public

My Commission Expires: \_\_\_\_\_

MARGARET A. DORSI, Notary Public  
My Commission Expires Oct. 15, 2004

Inventor: \_\_\_\_\_

Robert A. Fein

Massachusetts )

County of Middlesex ) ss

Subscribed and sworn to before me, by the above-named Robert A. Fein this 10 day of February, 1998.

  
Notary Public

My Commission Expires: \_\_\_\_\_

MARGARET A. DORSI, Notary Public  
My Commission Expires Oct. 15, 2004

**The Commonwealth of Massachusetts  
William Francis Galvin**

Secretary of the Commonwealth  
One Ashburton Place, Boston, Massachusetts 02108-1512  
Telephone: (617) 727-9640

**NEWRIVER, INC. Summary Screen**

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[Request a Certificate](#)The exact name of the Domestic Profit Corporation: NEWRIVER, INC.The name was changed from: NEWRIVER INVESTOR COMMUNICATIO on 10/30/00The name was changed from: INUNITY CORPORATION on 2/1/99The name was changed from: SENSIBLE SOLUTIONS, INC. on 11/5/97Entity Type: Domestic Profit CorporationIdentification Number: 043093665Old Federal Employer Identification Number (Old FEIN): 042922493Date of Organization in Massachusetts: 09/23/1987Current Fiscal Month / Day: 06 / 30Previous Fiscal Month / Day: 06 / 30

The location of its principal office in Massachusetts:

No. and Street: 200 BRICKSTONE SQUARE, 5TH FL.City or Town: ANDOVERState: MA Zip: 01810 Country: USA

If the business entity is organized wholly to do business outside Massachusetts, the location of that office:

No. and Street:

City or Town:

State:

Zip:

Country:

The name and address of the Registered Agent:

Name: TODD DESISTONo. and Street: 200 BRICKSTONE SQUARE, 5TH FLOORCity or Town: ANDOVERState: MA Zip: 01810 Country: USA

The officers and all of the directors of the corporation:

Title	Individual Name First, Middle, Last, Suffix	Address (no PO Box) Address, City or Town, State, Zip Code	Expiration of Term
PRESIDENT	LARRY C. RENFRO		

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